

# SMALL ENTITY DECLARATION

# INDEPENDENT INVENTOR

Attorney Docket No. \_\_\_\_

Applicant/Patentee: \_\_\_\_

Serial or Patent No. \_\_\_\_\_

File or Issue Date: \_\_\_\_\_

For: \_\_\_\_

USA PATENT AND TRADEMARK OFFICE  
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(b) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the described in:

☒ [x] the specification filed herewith

☐ [ ] application Serial No. \_\_\_\_\_ Filed: \_\_\_\_\_

☐ [ ] Patent No. \_\_\_\_\_ Issued: \_\_\_\_\_

I have not assigned, granted conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ [X] no such person(s), concern, or organization(s)

☐ [ ] person(s), concerns or organization(s) listed below\*

\* NOTE: Separate verified statements are required from each named person(s), concern or organization(s) having rights to the invention averring to their status as small entity (37 CFR 1.27)

Full Name \_\_\_\_\_

☒ [x] Individual ☐ [ ] Small Business Concern ☐ [ ] Nonprofit organization

Address \_\_\_\_\_

I hereby declare that I am:

☒ [X] the owner of the small business concern identified below:

☐ [ ] an official of the small business concern empowered to act on behalf of the concern identified below:

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

INVENTION TITLE: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

NAME OF INVENTOR: Joseph J. Tebbe

RESIDENCE ADDRESS: 3682 White Bear Ave.

CITY, STATE, ZIP CODE: White Bear Lake MN 55110

SIGNATURE OF INVENTOR: Joseph J. Tebbe

DATE: 11-16-98

NAME OF SECOND INVENTOR: NONE

RESIDENCE ADDRESS: \_\_\_\_\_

CITY, STATE, ZIP CODE: \_\_\_\_\_

SIGNATURE OF INVENTOR: \_\_\_\_\_

DATE: \_\_\_\_\_

# DECLARATION FOR PATENT APPLICATION

Docket Number \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a Patent is sought on the invention entitled \_\_\_\_\_ the specification of which is attached hereto unless the following box is checked:

[ ] was filed on \_\_\_\_\_ as United States application No. or PCT International application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an Amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this Application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificated listed below and having a filing date before that of the application on which priority is claim:

## PRIOR FOREIGN APPLICATION(S)

## Priority Claimed

Number	Country	Day/month/year filed	YES	NO
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing date	Status - patent pending or abandoned
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I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the

0019840-13398

United States Patent and Trademark Office connected therewith to:

Herman H. Bains  
Attorney  
608 South 2nd Avenue, Suite 1031  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

X Full name of sole or first inventor Joseph John Tebbe  
(full legal given name)

X Inventor's Signature Joseph J. Tebbe Date 11-16-98

X Residence Address 3682 White Bear Ave  
City, State, Zip Code White Bear Lake MN 55110  
Citizenship USA

Post Office Address same as above

Full name of second Inventor None (full legal given name)

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Address \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

[ ] Additional inventors are being named on separately numbered sheets attached hereto.